FORM PTO-1390 REV. 2/01T

OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 05788.0183 Customer No.: 22,852

|   |             |   |   | CD OFFICE (DO/EO/US) G UNDER 35 U.S.C. 371        | U.S. APPLICATION NO.: 09/937,653<br>(If known, see 37CFR1.5)   |  |  |  |  |
|---|-------------|---|---|---|--|--|--|--|--|
| INTE  | RNATIONA    | L APPLICATION   | I NO  | INTERNATIONAL FILING DATE                         | PRIORITY DATE CLAIMED  |  |  |  |  |
| ł   |             |   | 1110.   | INTERNATIONAL FILING DATE                         | PRIORITY DATE CLAIMED  |  |  |  |  |
|   | EP00/02400  |   |   | March 17, 2000                                    | March 31, 1999   |  |  |  |  |
|   |             |   |   | BLE FOR TELECOMMUNICATIONS                        |  |  |  |  |  |
| APPLICANT FOR DO/EO/US: 1) Giovanni BRANDI and 2) Enrico CONSONNI   |             |   |   |   |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |             |   |   |   |  |  |  |  |  |
| 1.  |             | This is a FIRST submission of items concerning a filing under 35 U.S.C 371.   |   |   |  |  |  |  |  |
| 2.  |             | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.   |   |   |  |  |  |  |  |
| 3.  |             | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |
| 4.  |             |   | The US has been elected by the expiration of 19 months from the priority date (Article 31). |   |  |  |  |  |  |
| 5.  |             | A copy of the Int   |   | Application as filed (35 U.S.C. 371 (c)(2)).      |  |  |  |  |  |
|   |             | a. 🔲  |   | hed hereto (required only if not communicated     | by the International Bureau.   |  |  |  |  |
|   |             | b. 🗆  |   | en communicated by the International Bureau.      | *  |  |  |  |  |
|   | r           | с. 🗆  |   | equired, as the application was filed with the U  |  |  |  |  |  |
| 6.  |             |   |   | ation of the International Application as filed ( | 35 U.S.C. 371 (c)(2)).   |  |  |  |  |
|   |             | a. 🗆  |   | hed hereto.                                       |  |  |  |  |  |
| _   | г           | b. 🗆  |   | en previously submitted under 35 U.S.C. 154 (c    |  |  |  |  |  |
| 7.  |             |   |   | of the International Application under PCT Ar     | `  |  |  |  |  |
|   |             | a. 🗆  |   | ched hereto (required only if not communicate     |  |  |  |  |  |
|   |             | b.  |   | een communicated by the International Bureau.     |  |  |  |  |  |
|   |             | c. 📙<br>d. 🗆  |   | ot been made; however, the time limit for making  | ng such amendments has NOT expired.  |  |  |  |  |
| 8.  |             |   |   | ot been made and will not be made.                | Gm + -: 1 +0 (0.5 × 1.5 G = 0.5 × 1. |  |  |  |  |
| 9.  | ⊠           |   |   | ation of the amendments to the claims under Po    | C1 Article 19 (35 U.S.C. 371 (c)(3)).  |  |  |  |  |
| 10.   |             | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |   |   |  |  |  |  |  |
| 10.   |             | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).                   |   |   |  |  |  |  |  |
| Items   | 11 to 20 be | low concern docúi   | ment(s) or  | information included:                             |  |  |  |  |  |
| 11.   | $\boxtimes$ | Supplemental Inf  | formation 1   | Disclosure Statement under 37 CFR 1.97 and 1      | 98   |  |  |  |  |
| 12.   |             | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |   |   |  |  |  |  |  |
| 13.   |             | A FIRST prelimi   | inary amen  | dment.  |  |  |  |  |  |
| 14.   |             | A SECOND or SUBSEQUENT preliminary amendment.   |   |   |  |  |  |  |  |
| 15.   |             | A Substitute specification.   |   |   |  |  |  |  |  |
| 16.   |             | A change of power of attorney and/or address letter.  |   |   |  |  |  |  |  |
| 17.   |             | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.   |   |   |  |  |  |  |  |
| 18.   |             | A second copy of the published international application under 35 U.S.C. 154 (d)(4).  |   |   |  |  |  |  |  |
| 19.   |             | A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).  |   |   |  |  |  |  |  |
| 20. Other items or information:   |             |   |   |   |  |  |  |  |  |
|   |             | a. 🛛  |   | of the Literal Translation of the Provisional Ap  |  |  |  |  |  |
|   |             | b. 🛛  |   | Translation - 49 pages and 11 sheets of drawin    | gs attached with Verification by Translator.   |  |  |  |  |
|   |             | c. 🛛  | Copy o  | f Notification of Missing Requirements.           |  |  |  |  |  |

|                      | U.S. APPEIC<br>09/937,653   | APPLICATION NO. (If known, see 37GFR 1.5)  INTERNATIONAL APPLICATION NO.: PCT/EP00/02400 |   |                                     | ATTORNEY'S DOCKET<br>NUMBER: 05788.0183       |                    |               |          |                           |  |
|----------------------|---|--|---|-------------------------------------|---|--------------------|---------------|----------|---------------------------|--|
|                      | 21. \( \times \) The following fees are submitted:  |  |   |                                     |   |                    |               |          | CALCULATIONS PTO USE ONLY |  |
| 1                    | BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):  |  |   |                                     |   |                    |               |          |                           |  |
| ·<br>·               | Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO |  |   |                                     |   |                    |               |          |                           |  |
| -                    | Internation<br>USPTO bu   | ial prelimin<br>it Internatio  |   |                                     |   |                    |               |          |                           |  |
|                      | Internation<br>USPTO bu   | ial prelimin<br>it Internatio  |   |                                     |   |                    |               |          |                           |  |
|                      | Internation<br>but all clai   | al prelimin<br>ms did not  |   |                                     |   |                    |               |          |                           |  |
|                      | Internation<br>USPTO an   | al prelimin<br>d all claims  |   |                                     |   |                    |               |          |                           |  |
|                      | y.  |  |   |                                     | ENTER APPROP                                  | RIATE BASIC F      | EE AMOUNT =   | \$       |                           |  |
|                      | Surcharge<br>months fro   | of \$130.00<br>m the earli   | for fun                                   | nishing the oath oned priority date | or declaration later than (37 CFR 1.492 (e)). | □ 20               | ⊠ 30          | \$130.00 |                           |  |
|                      | CLAI  | MS   | NUM                                       | BER FILED                           | NUMBER EXTRA                                  | RA                 | TE            |          |                           |  |
|                      | Total Claim   | s 29   | )   | - 20 =                              | 9   | x \$1              | 8.00          | \$       |                           |  |
|                      | Independent   | Claims 2   |   | -3 =                                | 0   | x \$8              | 0.00          | \$       |                           |  |
|                      | MULTIPLE  | DEPENDE  | NT CLA                                    | IM(S) (if applicabl                 | e)  | +\$2               | 70.00         | \$       |                           |  |
| ٠                    |   |  |   |                                     | TOTAL OF T                                    | HE ABOVE CAL       | CULATIONS =   | \$130.00 |                           |  |
|                      | ☐ Applica   | int claims s   | mall en                                   | tity status. See 3                  | 7 CFR 1.27. The fees i                        | ndicated above are | reduced by ½. | \$       |                           |  |
| ì                    |   |  |   |                                     |   |                    | SUBTOTAL =    | \$130.00 |                           |  |
|                      | Processing fee of \$130.00 for furnishing the English translation later than    20  30 months from the earliest priority date (37 CFR 1.492(f)).  |  |   |                                     |   |                    |               |          |                           |  |
|                      | TOTAL NATIONAL FEE =  |  |   |                                     |   |                    |               |          |                           |  |
|                      | Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property.                 |  |   |                                     |   |                    |               |          |                           |  |
|                      | TOTAL FEES ENCLOSED =   |  |   |                                     |   |                    |               |          |                           |  |
| 1/24/200<br>1 FC:154 | 2 LLANDGRA 00000009 09937653  |  |   |                                     |   |                    |               |          | \$                        |  |
| 1 (0:10)             | 7.3V- VV - UF   |  |   |                                     |   |                    |               |          | \$                        |  |
|                      | a. A check in the amount of \$ to cover the above fees is enclosed.   |  |   |                                     |   |                    |               |          | -                         |  |
|                      | b. Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.  |  |   |                                     |   |                    |               |          | e fees.                   |  |
|                      | с. 🛛  | The Comr<br>Deposit A  | or credit any ove                         | erpayment to                        |   |                    |               |          |                           |  |
|                      | d. 🗆  | Fees are to informati  | oublic. <b>Credit ca</b> orization on PTO | <b>rd</b><br>-2038.                 |   |                    |               |          |                           |  |
|                      | NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to rev must be filed and granted to restore the application to pending status.                   |  |   |                                     |   |                    |               |          | 7 (a) or (b))             |  |
|                      | SEND ALL CORRESPONDENCE TO:   |  |   |                                     |   |                    |               |          |                           |  |
|                      | Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.  |  |   |                                     |   |                    |               |          | _                         |  |
|                      | 1300 I Street, N.W. SIGNATURE   |  |   |                                     |   |                    |               |          |                           |  |
|                      | Washington, D.C. 20005-3315 Ernest F. Chapman/25,961  |  |   |                                     |   |                    |               |          |                           |  |
|                      | DATED: January 18, 2002  NAME/REGISTRATION  |  |   |                                     |   |                    | ATION NO.     |          |                           |  |



CUSTOMER NO. 22,852 ATTORNEY DOCKET NO. 05788.0183

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



| In re Application of:  | )                                    |  |  |
|--|--------------------------------------|--|--|
| Giovanni BRANDI et al.   | )                                    |  |  |
| Serial No.: 09/937,653   | ) Group Art Unit: Not Yet Assigned ) |  |  |
| Filed: September 28, 2001  | ) Examiner: Not Yet Assigned         |  |  |
| National Phase Filing of International Application No. PCT/EP00/02400, filed March 17, 2000, for: OPTICAL CABLE FOR TELECOMMUNICATIONS | )<br>)                               |  |  |

Assistant Commissioner for Patents Washington, DC 20231

Sir:

## FILING OF THE LITERAL TRANSLATION OF THE PROVISIONAL APPLICATION UNDER 35 U.S.C. 119(e)

Enclosed is the literal translation of the Provisional Application Serial No. 60/127,885, which was filed on April 5, 1999. The translation is being filed in accordance with 37 CFR 1.78(a) and within the time period set forth in 37 CFR 1.78(a)(5). Also enclosed is a verification by the translator testifying to the accuracy of the translation.

Please associate this translation with the above-identified National Phase Application as filed on September 28, 2001, and assigned Serial No. 09/937,653.

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

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Customer No. 22,852 Attorney Docket No. 05788.0183

Authorization is hereby given to charge any fees associated with this matter to our deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: January 18, 2002

Ernest F. Chapman Reg. No. 25,961

Enclosures EFC/FPD/sci

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